

THE FINANCIAL SERVICES COMMISSION

APPLICATION FORM CATEGORY 1 GLOBAL BUSINESS LICENCE

(approved under section 72(1) of the FSA 2007)

1. **THIS FORM SHOULD BE READ IN CONJUNCTION WITH THE “GUIDE TO COMPLETING THE APPLICATION FORM FOR A CATEGORY ONE GLOBAL BUSINESS LICENCE (GUIDE)”¹**
2. a. Forms A and B to the Application Form are to be completed for all applications – except for those relating to activities listed in 2b hereunder.
b. Forms B and C to the Application Form are to be completed for applications relating to Collective Investment Schemes, Private Equity Funds, Venture Capital Funds, Investment Companies, CIS Manager and Investment Adviser/ Manager.
3. **Only** duly completed Applications for C1GBLs submitted with appropriate and complete supporting documents, along with processing and first annual licence fee will be streamlined – these Applicants **may** be issued with a licence without having to go through the process of a Letter of Intent.

FULL NAME OF APPLICANT²

IS THE APPLICANT “IN FORMATION”²?

YES NO

NAME AND CONTACT DETAILS OF THE APPLICANT’S MANAGEMENT COMPANY

NAME

ADDRESS

PHONE NUMBER

FOR OFFICIAL USE

Applicants Should Not Write Below This Line

Date of Application

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Date of Receipt

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FSC Reference No

C1									
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¹ Please refer to page 9 of the Guide where superscript 2 appears and to pages 7-8 of the Guide for further information wherever superscript 3 is indicated